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PATENT
Attorney's Docket No. 51876P244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)

Myung-Lae Lee, et al.)

Serial No. 09/853,289)

Filed: May 9, 2001)

For: OPTICAL TUNABLE FILTERS)
AND OPTICAL COMMUNICATIONS)
DEVICE INCORPORATED THEREIN)
OPTICAL TUNABLE FILTERS)

Examiner: Richard H. Kim

Art Group: 2882

*5/aw/formal
drawing
J. Stept
9-7-02*

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Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action mailed May 22, 2002, in connection with the above referenced patent application, the Applicants respectfully request entry of the following amendments and request reconsideration in view of the following remarks.

IN THE DRAWINGS

Submitted herewith on several sheets of paper are corrected drawings of Figures 1, 2, 3A and 4. Entry is requested.



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PTO/SB/21 (08-00)
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|---|----|------------------------|----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application No. | 09/853,289 |
| | | Filing Date | May 9, 2001 |
| | | First Named Inventor | Myung-Lae Lee |
| | | Group Art Unit | 2882 |
| | | Examiner Name | Richard H. Kim |
| Total Number of Pages in This Submission | 17 | Attorney Docket Number | 51876P244 |

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Corrected Drawings 1, 2, 3A and 4; Return Postcard</div> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | August 22, 2002 |

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231 on: **August 22, 2002**

| | | | |
|-----------------------|----------------------|------|-----------------|
| Typed or printed name | Lillian E. Rodriguez | Date | August 22, 2002 |
| Signature | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.